

03/04/02



jc698 U.S. PTO

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FILE # OR-1-02

FEB 22 2002

DATE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

OR-1-02

First Inventor

N. VERFUERTH

Title

FLUOR. LIGHT FIXTURE

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **19**]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **9**]
5. Oath or Declaration [Total Pages **28**]
  - a. ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))
  - b. ☐ (for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

of prior application No.:

09,870,976

Prior application information:

Examiner

Group Art Unit.

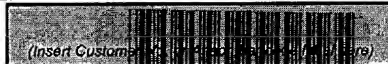
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For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



Customer Number or Bar Code Label



or



Correspondence address below

Name

22496

Address

PATENT TRADEMARK OFFICE

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Robert T. Johnson

Registration No. (Attorney/Agent)

28,958

Signature

Robert T. Johnson

Date

2/22/02

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FILE # OR-1-02

DATE FEB 22 2002

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

|                      |              |
|----------------------|--------------|
| Application Number   | attached     |
| Filing Date          | attached     |
| First Named Inventor | N. VERFUERTH |
| Examiner Name        | --           |
| Group Art Unit       | --           |
| Attorney Docket No.  | OR-1-02      |

TOTAL AMOUNT OF PAYMENT (\$)370.00

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number                       
Deposit Account Name                     

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed: CK# 1456

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity  |          | Small Entity  |          | Fee Description        | Fee Paid   |
|---------------|----------|---------------|----------|------------------------|------------|
| Fee Code (\$) | Fee (\$) | Fee Code (\$) | Fee (\$) |                        |            |
| 101           | 740      | 201           | 370      | Utility filing fee     | <u>370</u> |
| 106           | 330      | 206           | 165      | Design filing fee      |            |
| 107           | 510      | 207           | 255      | Plant filing fee       |            |
| 108           | 740      | 208           | 370      | Reissue filing fee     |            |
| 114           | 160      | 214           | 80       | Provisional filing fee |            |

SUBTOTAL (1) (\$)370

### 2. EXTRA CLAIM FEES

Total Claims 5 -20\*\* =    X    =     
Independent Claims 2 -3\*\* =    X    =     
Multiple Dependent    =   

| Large Entity  |          | Small Entity  |          | Fee Description                                            |
|---------------|----------|---------------|----------|------------------------------------------------------------|
| Fee Code (\$) | Fee (\$) | Fee Code (\$) | Fee (\$) |                                                            |
| 103           | 18       | 203           | 9        | Claims in excess of 20                                     |
| 102           | 84       | 202           | 42       | Independent claims in excess of 3                          |
| 104           | 280      | 204           | 140      | Multiple dependent claim, if not paid                      |
| 109           | 84       | 209           | 42       | ** Reissue independent claims over original patent         |
| 110           | 18       | 210           | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)  

\*\*or number previously paid, if greater, For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity  |          | Small Entity  |          | Fee Description                                                            | Fee Paid |
|---------------|----------|---------------|----------|----------------------------------------------------------------------------|----------|
| Fee Code (\$) | Fee (\$) | Fee Code (\$) | Fee (\$) |                                                                            |          |
| 105           | 130      | 205           | 65       | Surcharge - late filing fee or oath                                        |          |
| 127           | 50       | 227           | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139           | 130      | 139           | 130      | Non-English specification                                                  |          |
| 147           | 2,520    | 147           | 2,520    | For filing a request for ex parte reexamination                            |          |
| 112           | 920*     | 112           | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113           | 1,840*   | 113           | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115           | 110      | 215           | 55       | Extension for reply within first month                                     |          |
| 116           | 400      | 216           | 200      | Extension for reply within second month                                    |          |
| 117           | 920      | 217           | 460      | Extension for reply within third month                                     |          |
| 118           | 1,440    | 218           | 720      | Extension for reply within fourth month                                    |          |
| 128           | 1,960    | 228           | 980      | Extension for reply within fifth month                                     |          |
| 119           | 320      | 219           | 160      | Notice of Appeal                                                           |          |
| 120           | 320      | 220           | 160      | Filing a brief in support of an appeal                                     |          |
| 121           | 280      | 221           | 140      | Request for oral hearing                                                   |          |
| 138           | 1,510    | 138           | 1,510    | Petition to institute a public use proceeding                              |          |
| 140           | 110      | 240           | 55       | Petition to revive - unavoidable                                           |          |
| 141           | 1,280    | 241           | 640      | Petition to revive - unintentional                                         |          |
| 142           | 1,280    | 242           | 640      | Utility issue fee (or reissue)                                             |          |
| 143           | 460      | 243           | 230      | Design issue fee                                                           |          |
| 144           | 620      | 244           | 310      | Plant issue fee                                                            |          |
| 122           | 130      | 122           | 130      | Petitions to the Commissioner                                              |          |
| 123           | 50       | 123           | 50       | Processing fee under 37 CFR 1.17(q)                                        |          |
| 126           | 180      | 126           | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581           | 40       | 581           | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146           | 740      | 246           | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149           | 740      | 249           | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179           | 740      | 279           | 370      | Request for Continued Examination (RCE)                                    |          |
| 169           | 900      | 169           | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)                     

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)  

## SUBMITTED BY

Name (Print/Type)

Robert T. Johnson

Registration No. 28,958  
(Attorney/Agent)

## Complete (if applicable)

Telephone

920 892 8556

Signature

Robert T. Johnson

Date

2/22/02

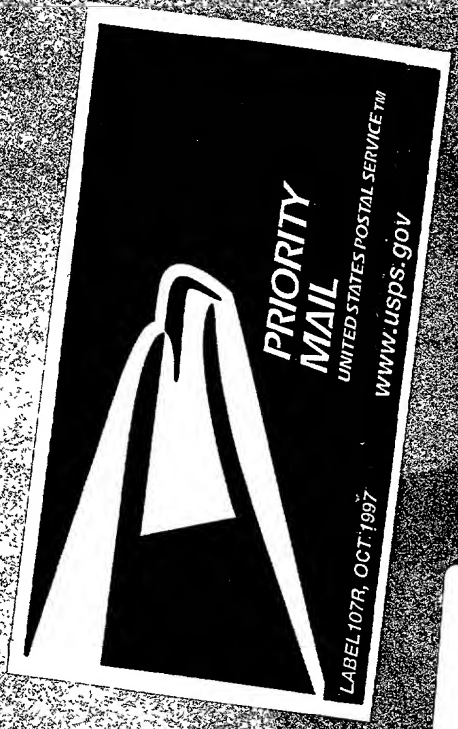
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RECEIVED  
JUL 11 2002  
FEDERAL BUREAU OF INVESTIGATION

RECEIPT  
REQUESTED

03704702  
086820



BOX: PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
WASHINGTON, D. C. 20231

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PAPER # 1

Page 1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER  
FOR PATENTS  
WASHINGTON, D. C. 20231**

[X] PATENT APPLICATION  
APPLICANT : NEAL R. VERFUERTH  
SERIAL NO. attached  
FILED : attached  
FOR : FLUORESCENT HANGING LIGHT FIXTURE

GROUP : --  
EXAMINER : --

**REQUEST FOR NON-PUBLICATION  
OF APPLICATION AS REQUIRED  
@ 18 MONTHS PER 35 U.S.C. 122.**

Sirs;

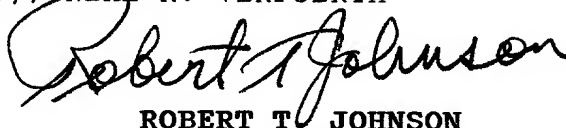
This is to certify that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing, the application shall not be published as provided in paragraph (1).

Respectfully submitted,

X 

INVENTOR(S); NEAL R. VERFUERTH

FEB 22 2002



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